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Regulations of the Department of Business and Markets of the Doctoral School NRW

dated 07.12.2021 in the version dated 27.03.2023

Based on Section 4 (4) sentence 3 of the Higher Education Act of the State of North Rhine-Westphalia (Higher Education Act - HG) in the version published in the Act Amending the Higher Education Act of July 12, 2019, Section 2 (2) of the Administrative Agreement of the Doctoral School NRW of December 14, 2020 (VV) and the Framework Departmental Regulations of April 16, 2021 (RAO), the Doctoral School NRW issues the following Departmental Regulations:

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§ 1 Scope of application and tasks

(1) The Departmental Regulations govern the structure, procedural rules and processes of the Department of Business and Markets and are based on the valid version of the Framework Departmental Regulations as well as the cooperation practiced in the department and the Department of Business and Markets in Transition of the GI NRW as the predecessor institution.

(2) Professors and doctoral candidates from universities of applied sciences (AUS) and universities work together in the department with the aim of carrying out and promoting doctorates, strengthening cooperation in research, promoting integration into the scientific community, ensuring a high quality of scientific work and teaching young scientists a responsible approach to science.

(3) In particular, the department ensures that the doctorate is compatible with the national and international scientific community and that an academic career at other institutions can be continued after completion of the doctorate.

(4) The department represents an extended research environment for its doctoral candidates. They are included in the scientific discourse beyond university boundaries. Where possible, the facilities of the other supporting universities involved in the department are also available to them.

(5) The department obliges all members and Affiliated Members to comply with the rules of good scientific practice in accordance with the regulations on safeguarding good scientific practice and dealing with Scientific Misconduct at the Doctoral School NRW, as amended.

(6) The department obliges all its members and Affiliated Members to handle scientific methods and results responsibly in accordance with the regulations of the Commission on Responsibility in Science of the Doctoral School NRW as amended.

(7) In order to provide a supportive environment for young researchers, the members of the department agree on their research and form focal points. These Key Research Areas form the basis for the doctoral programs.

(8) The Department encourages collaboration with other departments in the Doctoral College, particularly Department of Social and Health Affairs, Resources and Sustainability, and Department of Technology and Systems.

(9) The department involves associate professors and thus gives them the opportunity to acquire membership status.

(10) The department promotes equal rights with regard to gender, social and local origin, religion, age and disabilities. This promotion is carried out with regard to gender equality, in particular by actively approaching female Master's graduates, organizing and supporting participation in external workshops and training courses for female scientists as well as in the application and participation in national and international conferences and specialist lectures, exchange of experience with other female scientists, individual advice on planning a scientific career.

§ 2 Contributors

(1) The professorial members and associate professors admitted in accordance with Section 3 of the Membership Regulations, doctoral candidates admitted in accordance with Section 4 of the Membership Regulations and cooperation partners of universities that have assigned themselves to this department shall work together in the department. The admission of members and Affiliated Members is governed by the Membership Regulations and §§ 7, 8, 9 and 10 of the Administrative Agreement.

(2) Members and Affiliated Members of other departments may also attend meetings and events after registering, provided that there are no reasons of confidentiality or other reasons to the contrary.

(3) In order to promote cooperation between the departments and interdisciplinary networking, members and Affiliated Members of other departments can be appointed as secondary members or Affiliated Members of the department upon request. With the exception of the right to vote, they have all opportunities to participate in the department and are invited accordingly. The appointment is made by the Department board.

(4) The participation of guests in the department's events is only possible by invitation or prior registration.

§ 3 Recommendations Committee

(1) The department's Recommendations Committee, with the assistance of professorial expertise from external institutions authorized to award doctorates, prepares a scientific evaluation for the admission of members and Affiliated Members of the department.

(2) The composition and election of the Recommendations Committee are regulated in § 5 of the Framework Division Regulations.

(3) The Recommendations Committee consists of three professorial members, university cooperation partners of the department or other expert professors from institutions entitled to award doctorates. Two members are elected by the Department board in accordance with Section 5 (5) RAO, one member is appointed from among the Director and his or her deputies in accordance with Section 4 (2) in accordance with Section 5 (4) RAO.

(4) With regard to the composition of the Recommendations Committee, the department further stipulates that the members should reflect the content spectrum of the department.

(5) The members of the Recommendations Committee shall carry out the academic assessment in accordance with paragraph 1 independently of each other and express their opinion individually and in writing on the PK NRW data portal for or against admission to the department as professorial members, associate professors or doctoral candidates. The majority of votes decides. If the opinions do not result in a clear vote or at the request of a member of the Recommendations Committee, the Recommendations Committee exchanges views in writing, in person, online or in a hybrid form and prepares a joint academic assessment.

§ 4 Bodies of the department

(1) Bodies of the department are the Department board and the director. Tasks and election are regulated in § 4 of the Framework Departmental Regulations and in § 17 and § 18 of the Constitution.

(2) The Director heads the department, chairs the meetings and represents the department externally. The deputy director is in charge of the department's doctoral programs. Both represent each other. The director's duties are regulated in § 25 of the administrative agreement.

(3) The Department board meets at least once per semester. It shall adopt its own rules of procedure.

§ 5 Key Research Areas of the Department

(1) The department is anchored in the following disciplines: social and behavioral sciences, specifically in the social sciences, as well as in economics and engineering, specifically in mechanical and production engineering.

(2) The department conducts research in priority areas. These are called:

1. "Development of key factors and work design in times of change",
2. "Uncertainty, Resilience and Institutional Change",
3. "Value Chain and Operations Management".

It is possible to assign members and Affiliated Members to several focal points.

(3) The Key Research Areas can elect a spokesperson from among their participants to represent the Key Research Area within the department.

(4) The Department board decides on the establishment or dissolution of the department's Key Research Areas and on their general tasks. All those involved in the Key Research Areas must be consulted and the effects on the doctoral programs must be taken into account and adjustments made if necessary. The rights and obligations of professors and doctoral candidates remain unaffected.

(5) In the event of the establishment or dissolution of Key Research Areas, the impact on the doctoral programs must be examined immediately and adjustments made if necessary.

§ 6 Cooperation and events of the department

(1) In addition to the work of the Bodies, elements of cooperation include the Department Assembly, the implementation of the doctoral programs and regular meetings between the Directors and the doctoral candidates.

(2) Doctoral candidates are involved in the work of the department in particular through regular meetings with the directors, participation in specialist events organized by members of the department, workshops to present the respective doctoral projects.

(3) Collaboration with university cooperation partners takes place in particular through regular professional exchange, especially invitations to departmental and external specialist events.

(4) In the case of decisions with scientific or organizational implications, such as the holding of conferences, all those involved, in particular associate professors and doctoral candidates, shall be appropriately involved.

§ 7 Departmental meeting

(1) The Department Assembly shall meet at least once a year. The departmental assembly consists of professorial members, associate professors, university cooperation partners,

doctoral candidates and Staff members. The director or a deputy director should be present.

(2) The department assembly shall discuss the scientific work of the department, the promotion of doctorates and the organization in an open discourse.

(3) The director shall issue invitations to the departmental meeting with 14 days' notice and announce the agenda. The director shall be supported in this by the Staff members responsible for the department. The invitation to the departmental meeting shall be sent by e-mail.

(4) The regulations on voting, rules of procedure, resolutions and minutes are equivalent to the procedures of the Department board. In addition, open votes may be held, including online, provided that no member objects.

(5) The department meeting can be held as an in-person, online or hybrid event.

§ 8 Doctoral candidate spokesperson

(1) The Doctoral Candidate Representatives represent the interests of doctoral candidates within the department and vis-à-vis the Executive Board of the Doctoral School NRW.

(2) The election and term of office of the Doctoral Candidate Representatives is governed by Section 6 of the Framework Departmental Regulations.

(3) The department determines that the Doctoral Candidate Representatives have the right to participate and vote in the departmental meeting on all issues concerning doctoral candidates.

§ 9 Equality, Person in charge of Equal Opportunities Affairs

(1) The Equal Opportunities Officer performs the tasks in accordance with Section 24 of the Higher Education Act. She participates in the meetings of the Department board and the Recommendations Committee in an advisory capacity.

(2) The department's equal opportunities officer and deputy are elected by the Department board from among all female members of the department.

(3) The term of office of the Person in charge of Equal Opportunities Affairs of the department and the deputy is three years.

(4) The election of the Person in charge of Equal Opportunities Affairs of the department and the deputy shall take place in separate ballots. The person who receives a simple majority of the votes cast by the members entitled to vote is elected. Abstentions count as votes not cast.

§ 10 Commissions

The Department board can set up commissions for special tasks as well as reappoint them.

§ 11 Doctoral examining committee

The department sets up a doctoral examining committee. Further details on the composition and election are regulated by the Framework Doctoral Degree Regulations of the Doctoral

College for Applied Research in North Rhine-Westphalia dated 31.01.2023 and the Doctoral Degree Regulations of the Department of Business and Markets of the Doctoral College for Applied Research in North Rhine-Westphalia, as amended.

§ 12 Amendment of the Departmental Regulations

The Departmental Regulations are adopted and amended by a two-thirds majority of the voting members of the Department board.

§ 13 Severability clause

Should any provision of these regulations be invalid, this shall not affect the validity of the remaining provisions. An invalid provision shall be replaced by a new, valid provision that comes as close as possible to the meaning and purpose of the original provision.

§ 14 Entry into force

Issued on the basis of the resolution of the Department board of 27.03.2023. The Departmental Regulations enter into force on the day after their publication in the Official Notices of the Doctoral School NRW.

Münster, 28.03.2023

The Chairman of the Department board

signed. *Lanwehr*

(Prof. Dr. Ralf Lanwehr)